

United States Court of Appeals
FOR THE DISTRICT OF COLUMBIA CIRCUIT

No. 23-1044

September Term, 2023

SEC-2023-31

Filed On: November 13, 2023 [2026659]

John Doe,

Petitioner

v.

Securities and Exchange Commission,

Respondent

BEFORE: Circuit Judges Henderson, Wilkins, and Pan

COURTROOM MINUTES OF ORAL ARGUMENT

PROCLAMATION BEING MADE, the Court opened on Monday, November 13, 2023 at 9:30 a.m. The cause was heard as case No. 2 of 2 and argued before the Court by:

Tom Cummins (Pro Hac Vice), counsel for Petitioner.

William K. Shirey (SEC), counsel for Respondent.

FOR THE COURT:

Mark J. Langer, Clerk

BY: /s/

Anne A. Rothenberger
Deputy Clerk